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## **Application Form**

4. INVESTMENT & PAYMENT DETAILS (Stamp Duty Applicable)																													
Scheme Name				S	chem	e-1								Sche	me-2									Scl	heme-3	}			
Plan		Regula	ar		Dire	ect					Reg	ular	[	D	rect						Reg	jular			Direc	t			
Option	Growth Income Distribution cum Capital Withdrawal (IDCW) Payout Reinvestment Transfer* IDCW Frequency (For Fixed Income Funds only):							IDC	Grow	rth	r (Fc		<b>come L</b> apital V Payor Reinv Trans I Income	<b>Vithd</b> i ut vestm sfer*	<b>Irawa</b> ient	al (ID)	m CW)	Growth Income Distribution cum Capital Withdrawal (IDCW)						um DCW)					
(*If target scheme is not	(applicable as per SID & KIM of respective Funds) *Transfer (IDCW) Target Scheme Begular Growth Direct Growth t mentioned for Transfer (IDCW), default scheme is "Sundaram Liquid Fund an						ind and	(applicable as per SID & KIM of respective Funds) *Transfer (IDCW) Target Scheme Regular Growth Direct Growth sub-option Growth") Any / each correction carried out in selecting the target sc							(applicable as per SID & KIM of respective Funds) *Transfer (IDCW) Target Scheme Regular Growth Direct Growth scheme has to be counter-signed by the investor(s) to make it a valid selection						lection								
Payment Mode							DD  F For realis					OTM			ue 🗌 *Subjec							7					) 🗌 R o realis		)
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# **Application Form**

### 8. Nomination Details (Refer Instruction 3)

□ I / We wish to nominate. (Proportion (%) in which units will be shared by each nominee should aggregate to 100%. In case of single nominee default proportion will be 100%.)

	Nominee Name	Nominee PAN	Relationship	If nominee is minor*		Allocation	Nominee Signature
	Noninee Name	Nominee PAN	with applicant	Guardian Name	Date of Birth	(%)	Nominee Signature
1							
2							
3							
Ad	dress		with Minor as I	h Minor as Nominee, please mention Gua Mother / Father / Legal Guardian & Attao nool Leaving Certificate / Passport / Others	ch proof like Birth	Total 100%	

### $\Box$ I / We DO NOT wish to nominate

X

Nomination Declaration: I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

OCCUPAT	ION																		
	Private Sector Service	Public Sector Government Serv	<sub>ce</sub> Hous	ewife Bus	isiness	Professio	onal A	Agriculturist	Retired	Student	Fore	ex Dealer	Othe	rs			Others		
1st Holder							]				[						Specify		
2nd Holder											[						Specify		
Brd Holder							]										Specify		
ROSS ANN	IUAL INCOME																		
	Below 1 Lac	1-5 Lacs 5	10 Lacs	10-25 Lacs	; ;	> 25 Lacs - 1	Crore >	1 Crore	Net worth (N Non-Indiv	landatory fo ⁄iduals) - ₹					As o	n date			
1st Holder													D	M	M	Y	Y	Y	Y
2nd Holder													D	M	M	Y	Y	Y	Y
3rd Holder													D	M	M	Y	Y	Y	Y
PEP & UBO I	Details								1				I		1	1		1	
	I am politically exposed person	Related to PEP	Is the co	ompany a Listed	qmoO I	oany or Subsidia no. Please attac	ary of Listed C ch mandatory	Company or v UBO declar	r Controlled by a ration)	a Listed Compa	ny	Fo / Mone	reign Exc v Charge	hange r Services	Famir	ig / Gambl Casino Se	ling / Lottery / ervices		y Lendin awning
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#### **FATCA-CRS** Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, *i.e., within 30 days*. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

- \$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting documents and attach this to the form.
- 10. Declaration: I/We having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date hereby apply for units under the scheme(s) as indicated in the application form agree to abide by the terms, conditions, rules and regulations of the scheme(s) agree to the terms and conditions for OTM/NACH have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please ( $\checkmark$ )  $\Box$  I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a  $\Box$  Repatriation Basis  $\Box$  Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of USA/Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

**Certification:** I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same. I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes. or in respect of any other information as may be required under applicable tax laws.

Stamp Duty: Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including reinvestment IDCW and switch-in) to the Unit holders would be reduced to that extent.

□ (Applicable only for investments through RIA) RIA/PMRN Consent Declaration: I/We, the above-named person/s have invested in the Scheme(s) of Sundaram Mutual Fund under Direct Plan under the above mentioned Account No(s)./Folio No(s).

I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the below mentioned Mutual Fund Distributor/SEBI-Registered Investment Advisor/Portfolio Manager:

AMFI Registration Number ARN -	SEBI Registration No.	
Name:		
Address		
City		PIN
E-Mail ID		
Tel.No		
Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant
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Signature of First / Sole Applicant / Guardian	Signature of Second Applicant	Signature of Third Applicant

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				Particulars	
	Scheme Name / Plan / Option / Sub-option	Goal	Cheque / DD / Payment Instrument Number / Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words
		Lumpsum Purchase			
		□ SIP			